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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of

Compleat if Known

Application Number	
Filing Date	January 6, 2004
First Named Inventor	Thomas C. Frampton
Art Unit	
Examiner Name	
Attorney Docket Number	2171-44693

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
DTW		US- 2,079,044	05-04-1937	Santmyer	
		US- 2,129,939	09-13-1938	Juul	
		US- 2,837,301	06-03-1958	Jenney	
		US- 3,693,910	09-26-1972	Aldi	
		US- 4,132,360	01-02-1979	Lee, Jr.	
		US- 5,439,352	08-08-1995	Line	
		US- 5,462,462	10-31-1995	Woodley	
		US- 306,643	03-13-1990	Taylor, III	
		US- 309,183	07-10-1990	Taylor, III	
		US- 313,467	01-01-1991	Frampton	
		US- 325,436	04-14-1992	Taylor, III	
		US- 325,778	04-28-1992	Taylor, III	
		US- 326,149	05-12-1992	Taylor, III	
		US- 329,285	09-08-1992	Taylor, III	
		US- 329,286	09-08-1992	Taylor, III	
		US- 329,896	09-29-1992	Taylor, III	
		US- 336,513	06-15-1993	Junkin, et al	
DTW		US- 341,419	11-16-1993	Taylor, III	
		US- 424,190	05-02-2000	Tang	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ² *Number ³ *Kind Code ⁴ (if known)				
DTW		AU 133424 S	04/03/1998	Shepherd		

Examiner Signature	<i>Dwayne J. LHT</i>	Date Considered	5/13/05
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Sheet 2 of **Complete if Known**

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Attorney Docket Number	2171-44693

U. S. PATENT DOCUMENTS					
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		Number-Kind Code ² (if known)			
DTW		US 432,640	10-24-2000	Frampton	
		US 433,118	10-31-2000	Frampton	
		US 433,119	10-31-2000	Frampton	
		US 433,498	11-07-2000	Frampton	
		US 433,747	11-14-2000	Frampton	
		US 433,748	11-14-2000	Frampton	
		US 433,749	11-14-2000	Frampton	
		US 434,134	11-21-2000	Frampton	
		US 437,047	01-30-2001	Frampton	
		US 437,406	02-06-2001	Frampton	
		US 438,610	03-06-2001	Frampton	
		US 438,950	03-13-2001	Frampton	
		US 443,926	06-19-2001	Frampton	
		US 444,553	07-03-2001	Frampton	
		US 450,380	11-13-2001	Frampton	
		US 451,997	12-11-2001	Schwartz	
		US 454,192	03-05-2002	Frampton	
		US 454,634	03-19-2002	Frampton	
DTW		US 454,635	03-19-2002	Frampton	

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ *Number ⁴ *Kind Code ⁵ (if known)				
DTW		AU 133424 S	04/03/1998	Shepherd		

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		Art Unit	
		Examiner Name	
Sheet 3 of	Attorney Docket Number	2171-44693	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
DTW		Fanimation, "Unique Ceiling, Wall & Floor Mounted Fans," catalog, January 1990, USA	
		Clem Labine's TRADITIONAL BUILDING, "A Fan-tastic Ceiling Accessory," magazine article, Nov/Dec 1997, USA	
		ARCHITECTURAL ANTIQUES - Andy Thornton, "Fan Systems," catalog, No. 12, First Edition, March 1994, USA	
		GUMPS MAIL INTERIORS, Plantation Ceiling Fan, catalog, July 1998, USA	
		THE SHARPER IMAGE HOME COLLECTION, Plantation Ceiling Fan, catalog, Summer 1998, USA	
		AMERICAN HOMESTYLE & GARDENING, "The Islander Fan," magazine article, Aug/Sep 1995, USA	
		METROPOLITAN HOME, "Three-Leaf Palm Fan by Fanimation," magazine article, Jul/Aug 1998, USA	
		A-PLUS: Art/Antiques/Design - Cape Cod Edition, "Keep Your Cool," news article, June 1998, USA	
		FRIEDES ASSOCIATES, "1997 Hudson River Showhouse, New York," promotional piece, specific date unknown, USA	
DTW		Photograph - author unknown, December 3, 1995, Raffles Hotel, Singapore	

Examiner Signature	<i>Dwayne J. LST</i>	Date Considered	5/13/05
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		First Named Inventor	Thomas C. Frampton
		Art Unit	
		Examiner Name	
Sheet 4 of	Attorney Docket Number	2171-44693	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
DTW		Photograph - author unknown, circa 1905, White Rabbit Saloon, Tennessee	
		FANIMATION, "The Islander," promotional piece, date unknown, USA	
		FANIMATION, "The Palisade," promotional piece, date unknown, USA	
		WINNOWER FAN CO. INC., advertising brochure, p. 20, CEILING FAN A	
		Fanimation, "Unique Ceiling, Wall & Floor Mounted Fans," catalog, January 2000, USA	
		Emerson, "Ceiling Fans Research & Development" catalog, January 2001, USA	
		"Hampton Bay," promotional piece, date unknown, USA	
		THE BEACON, "Neon is a gas: local firm produces signs, architectural lighting," Newspaper Article, August 1998, USA	
		NICOR advertisement, September 2000 issue, USA	
DTW		NICOR website; www.nicorlighting.com, July 5, 2002, various ceiling fan photographs	

Examiner Signature	<i>Dwayne J. [Signature]</i>	Date Considered	5/13/05
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		Art Unit	
		Examiner Name	
Sheet 5	of	Attorney Docket Number	2171-44693

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
DTL		REGENCY CEILING FANS, "Tropic Air," promotional piece brochure, Copyright 2001, USA	
↓		FURNITURE STYLE, "Tahitian Ceiling Fan," magazine article, CONCORD product advertisement, December 2001	
↓		HOME LIGHTING & ACCESSORIES, "Vintage Crown Collection, magazine article, CONCORD product advertisement, December 2001	
DTL		Fanimation, "Unique Ceiling, Wall & Floor Fans," catalog, January 2002, USA	

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